

12/17/01  
J1135 U.S. PTO

12-20-01

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

U. S. Patent and Trademark Office  
P. O. Box 2327  
Arlington, VA 22202

Docket No.: 7562

A  
JC835 U.S. PTO  
10/023370  
12/17/01

Sir:

Transmitted herewith for filing is the patent application of:

Inventor: Martin J. Coffey, Steven T. Govoni, Arthur James Begala, Ross T. Gray, and Patrick G. Murray

For: HIGH MOLECULAR CATIONIC AND ANIONIC POLYMERS COMPRISING  
ZWITTERIONIC MONOMERS

Enclosed are:

- ☒ Specification Total Pages 35  
☐ Drawings Total Sheets         
☒ Declaration Total Pages 2  
1. ☒ Unexecuted (original or copy)  
2. ☐ Copy from a prior application (37 CFR 1.63(d))(for divisional)  
☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  
☐ Incorporation By Reference (useable if Box 2 is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 2, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.  
☒ Unexecuted Assignment Papers  
☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations  
☒ Nonpublication request and certification under 37 C.F.R. § 1.213.  
☐ Preliminary Amendment  
☒ Return Receipt Postcard (MPEP) (Should be specifically itemized)  
☐ If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.         
☐ Cancel in this application original claims        of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

Claims as Filed				
For	Number Filed	Number Extra	Rate	Basic Fee \$740.00
Total Claims	20 -20 =	0 =	X \$18 =	0.00
Independent Claims	1 -3 =	0 =	X \$84 =	0.00
Multiple Dependency	0 =	0 =	+ \$280 =	0.00
Total Filing Fee →				\$740.00

Please charge our Deposit Account No. 14-0105 in the amount of **\$740.00**. A duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for, or during, the pendency of this application, or credit any overpayment to Deposit Account No. 14-0105.

Respectfully submitted,

*Michael B. Martin*

Michael B. Martin, Reg. No. 37,521  
Date 12/17/2001  
ONDEO Nalco Company  
Patent & Licensing Department  
ONDEO Nalco Center  
Naperville, Illinois 60563-1198  
(630) 305-1574

"Express Mail" Mailing Label

Number **EJ468671929US**

Date of Deposit **December 17, 2001**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the U. S. Patent and Trademark Office, P. O. Box 2327, Arlington, VA 22202.

*Denise Ellison*  
Denise Ellison

**Docket No.: 7562**

In the United States Patent and Trademark Office

Applicant: Martin J. Coffey, et al. ) Examiner:  
Serial No.: ) Group Art Unit:  
Date Filed: December 17, 2001 ) Paper No.

For: HIGH MOLECULAR WEIGHT CATIONIC AND ANIONIC POLYMERS  
COMPRISING ZWITTERIONIC MONOMERS

NONPUBLICATION REQUEST AND CERTIFICATION UNDER 37 C.F.R. § 1.213

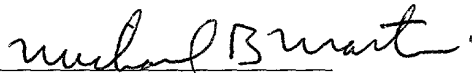
U. S. Patent and Trademark Office  
P. O. Box 2327  
Arlington, VA 22202

Dear Sir:

Applicants hereby request that this application **not be published** under 35 U.S.C. § 122(b).

Applicants certify that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Respectfully Submitted,



Michael B. Martin, Reg. No. 37,521  
ONDEO Nalco Company  
Patent & Licensing Department  
ONDEO Nalco Center  
Naperville, IL 60563-1198  
Date: 12/17/01

**CERTIFICATE OF MAILING  
37 C.F.R. 1.10**

I hereby certify that this correspondence is being deposited by the person signing below with the U.S. Postal Service as Express Mail Post Office to Addressee to U. S. Patent and Trademark Office, P. O. Box 2327, Arlington, VA 22202, on the date below:

 12/17/01  
Denise Ellison Date